

## Free boundary minimal surfaces and the Steklov eigenvalue problem

1. Let  $\Sigma$  be an immersed submanifold of dimension  $k$  in  $\mathbb{R}^n$ .
  - (a) Show that  $\Sigma$  has zero mean curvature  $H = 0$  if and only if the coordinate functions of  $\Sigma$  in  $\mathbb{R}^n$  are harmonic,  $\Delta_{\Sigma} x^i = 0$ ,  $i = 1, \dots, n$ .
  - (b) Assume  $\Sigma$  is minimal ( $H = 0$ ). Show that  $\Delta|x|^2 = 2k$
2. Let  $\Sigma^k$  be a free boundary minimal submanifold in  $B^n$ .
  - (a) Show that  $|\partial\Sigma| = k|\Sigma|$ , where  $|M|$  denotes the volume of  $M$ . (*Hint*: Use 1(b) and integrate.)
  - (b) Show that  $\partial\Sigma$  cannot lie strictly in a hemisphere. (*Hint*: Use 1(a) to show that the coordinate functions are ‘balanced’ on  $\partial\Sigma$ )
3. Consider the catenoid in  $\mathbb{R}^3$  parametrized by

$$u(t, \theta) = (\cosh t \cos \theta, \cosh t \sin \theta, t)$$

with  $0 < \theta < 2\pi$ ,  $t \in \mathbb{R}$ .

- (a) Find the value  $T$  such that  $u$  restricted to  $S^1 \times [-T, T]$  defines a free boundary minimal annulus in a ball, and determine the radius  $R$  of that ball.
  - (b) Write down a parametrization of a free boundary minimal annulus in the unit ball  $B^3$  by using a rescaling of the given parametrization using your result from part (a). This surface is referred to as the “critical catenoid”.
  - (c) Calculate the length of the boundary of the critical catenoid (from part (b)).
4. (Dirichlet-to-Neumann operator) Let  $(M, \partial M)$  be a Riemannian manifold. Given a function  $u \in C^\infty(M)$ , let  $\hat{u}$  be the harmonic extension of  $u$ :

$$\begin{cases} \Delta_g \hat{u} = 0 & \text{on } M, \\ \hat{u} = u & \text{on } \partial M. \end{cases}$$

The Dirichlet-to-Neumann map is the map

$$L : C^\infty(\partial M) \rightarrow C^\infty(\partial M)$$

given by

$$Lu = \frac{\partial \hat{u}}{\partial \eta}$$

where  $\eta$  be the outward unit normal along  $\partial M$ .

- (a) Use Green’s formulas to show that the Dirichlet-to-Neumann map is self-adjoint and non-negative.

Recall that the trace embedding from  $W^{1,2}(M)$  to  $L^p(\partial M)$  is bounded for  $p \leq \frac{2(n-1)}{n-2}$  for  $n \geq 3$  and compact for  $p < \frac{2(n-1)}{n-2}$  for all  $n$ . This makes it possible to diagonalize the quadratic form on the unit sphere in  $L^2(\partial M)$  to construct the eigenvalues  $\sigma_0, \sigma_1, \sigma_2, \dots$  and orthonormal eigenfunctions  $u_0, u_1, u_2, \dots$  satisfying

$$\begin{cases} \Delta_g u_i = 0 & \text{on } M, \\ \frac{\partial u_i}{\partial \eta} = \sigma_i u_i & \text{on } \partial M. \end{cases}$$

Note that  $\sigma_0 = 0$  and  $u_0$  is a constant function while  $\sigma_1 > 0$  (we take  $M$  to be connected).

Thus the eigenfunctions are critical points of the Rayleigh quotient

$$\frac{\int_M |\nabla u|^2 dv_M}{\int_{\partial M} u^2 dv_{\partial M}}.$$

among  $W^{1,2}$  functions on  $M$ . The  $k$ th eigenvalue  $\sigma_k$  of  $L$  can be characterized variationally as:

$$\sigma_k = \inf \left\{ \frac{\int_M |\nabla u|^2 dv_M}{\int_{\partial M} u^2 dv_{\partial M}} : \int_{\partial M} uu_i = 0, i = 0, 1, \dots, k-1 \right\}$$

where the functions are taken in  $W^{1,2}(M)$ . The eigenfunction is then a function which achieves the infimum. By elliptic regularity the eigenfunctions are smooth on the closure of  $M$ .

(b) When  $k = 1$  carry this procedure out to construct  $\sigma_1$  and  $u_1$ .

5. (a) Let  $(M, g)$  be a Riemannian manifold and  $c > 0$ . Show that  $\sigma_k(cg) = \frac{1}{\sqrt{c}} \sigma_k(g)$ .

(b) Show that  $\sigma_1(g) |\partial M|^{\frac{1}{n-1}}$  is scale invariant.

6. (Critical Möbius band)

(a) Consider the Möbius band  $M$  as  $\mathbb{R} \times S^1$  with the identification  $(t, \theta) \approx (-t, \theta + \pi)$ . Show that

$$\varphi(t, \theta) = (2 \sinh t \cos \theta, 2 \sinh t \sin \theta, \cosh 2t \cos 2\theta, \cosh 2t \sin 2\theta)$$

is a minimal embedding of  $M$  into  $\mathbb{R}^4$ .

(b) Show that for a unique choice of  $T_0$  the restriction of  $\varphi$  to  $[-T_0, T_0] \times S^1$  is an embedding into a ball meeting the boundary orthogonally.

(c) We may rescale the radius of the ball to 1 to get the *critical Möbius band*, an embedded free boundary minimal Möbius band in  $B^4$ . Determine the length of the boundary of the critical Möbius band.

7. (Hopf differential) Suppose  $\Sigma$  is a surface of constant mean curvature in  $\mathbb{R}^3$ , and let

$$\varphi(z) := (h_{11} - h_{22}) - 2ih_{12}$$

where  $z = x + iy$  is a local complex coordinate on  $\Sigma$ , and  $h_{ij}$  are coefficients of the second fundamental form of  $\Sigma$ . Show that  $\varphi$  is a holomorphic function.

8. (a) Let  $\Sigma$  be a free boundary minimal surface in  $B^3$ . Show that  $\partial\Sigma$  is a principal curve in  $\Sigma$ 
  - (b) Let  $\Sigma$  be a free boundary minimal hypersurface in  $B^n$ . Show that  $\partial\Sigma$  is umbilic in  $\Sigma$  (that is, the second fundamental form is proportional to the metric).
9. Let  $\Sigma$  be an equatorial plane disk in  $B^3$ . Show that  $\Sigma$  has index 1:
  - (a) Show that  $\Sigma$  has index at least 1.
  - (b) Use the fact that any equatorial plane disk in  $B^3$  minimizes area subject to the constraint that it divides the volume of the ball in half, to show that  $\Sigma$  has index at most one.
10. Let  $\Sigma$  be an equatorial plane disk in  $B^n$ . Show that  $\Sigma$  has index  $n - 2$ . (*Hint:* Observe that the Jacobi operator decouples in to scalar operators on the component functions.)